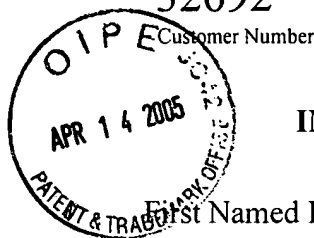


17W

Patent  
Case No.: 58938US002

32692



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: EPSTEIN, KENNETH A.  
Application No.: 10/738441      Group Art Unit: 2875  
Filed: December 17, 2003      Examiner: Unknown  
Title: ILLUMINATION DEVICE

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|   |  |
|---|--|
| <b>CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]</b> |  |
| I hereby certify that this correspondence is being:             |  |
| <input checked="" type="checkbox"/>                             | deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |
| <input type="checkbox"/>  | transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.   |
| <u>April 11, 2005</u><br>Date                                   | <u>Heather M. Bundy</u><br>Signed by: Heather M. Bundy   |

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

08- Apr-1- 2005  
Date

By: William D. Miller  
William D. Miller, Reg. No.: 37,988  
Telephone No.: (651) 733-6649

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

December 17, 2003

First Named Inventor

Epstein, Kenneth A.

Art Unit

2875

Examiner Name

Unknown

Attorney Case Number

58938US002

(Use as many sheets as necessary)

Page 1 of 1

## U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number                  | Publication Date or Issue Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------|----------|----------------------------------|--|---|---|
|              |          | Doc. Number-(Kind Code if Known) |  |   |   |
|              | A1       | US- 5,485,291                    | 01-16-1996                                   | Qiao et al.                                     |   |
|              | A2       | US- 5,640,483                    | 06-17-1997                                   | Lin   |   |
|              | A3       | US- 2002/0021563                 | 02-21-2002                                   | Ohsumi  |   |
|              | A4       | US-                              |  |   |   |
|              | A5       | US-                              |  |   |   |
|              | A6       | US-                              |  |   |   |
|              | A7       | US-                              |  |   |   |
|              | A8       | US-                              |  |   |   |
|              | A9       | US-                              |  |   |   |
|              | A10      | US-                              |  |   |   |
|              | A11      | US-                              |  |   |   |

## Foreign Patent Documents

| Exam. Init.* | Cite No. | Foreign Patent Document |                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation<br>(Check if yes) |
|--------------|----------|-------------------------|----------------------------|--------------------------------|---|---|-------------------------------|
|              |          | Ctry. Code              | Number-KindCode (If known) |                                |   |   |                               |
|              | B1       | EP                      | 0 814 300                  | 12-29-1997                     |   |   |                               |
|              | B2       |                         |                            |                                |   |   |                               |
|              | B3       |                         |                            |                                |   |   |                               |
|              | B4       |                         |                            |                                |   |   |                               |
|              | B5       |                         |                            |                                |   |   |                               |
|              | B6       |                         |                            |                                |   |   |                               |
|              | B7       |                         |                            |                                |   |   |                               |

## OTHER DOCUMENTS

| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation<br>(Check if yes) |
|--------------|----------|--|-------------------------------|
|              | C1       |  |                               |
|              | C2       |  |                               |
|              | C3       |  |                               |

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.